

## Deadlocks

- Acquire → Request → wait → get
- Use → hold
- Release → done

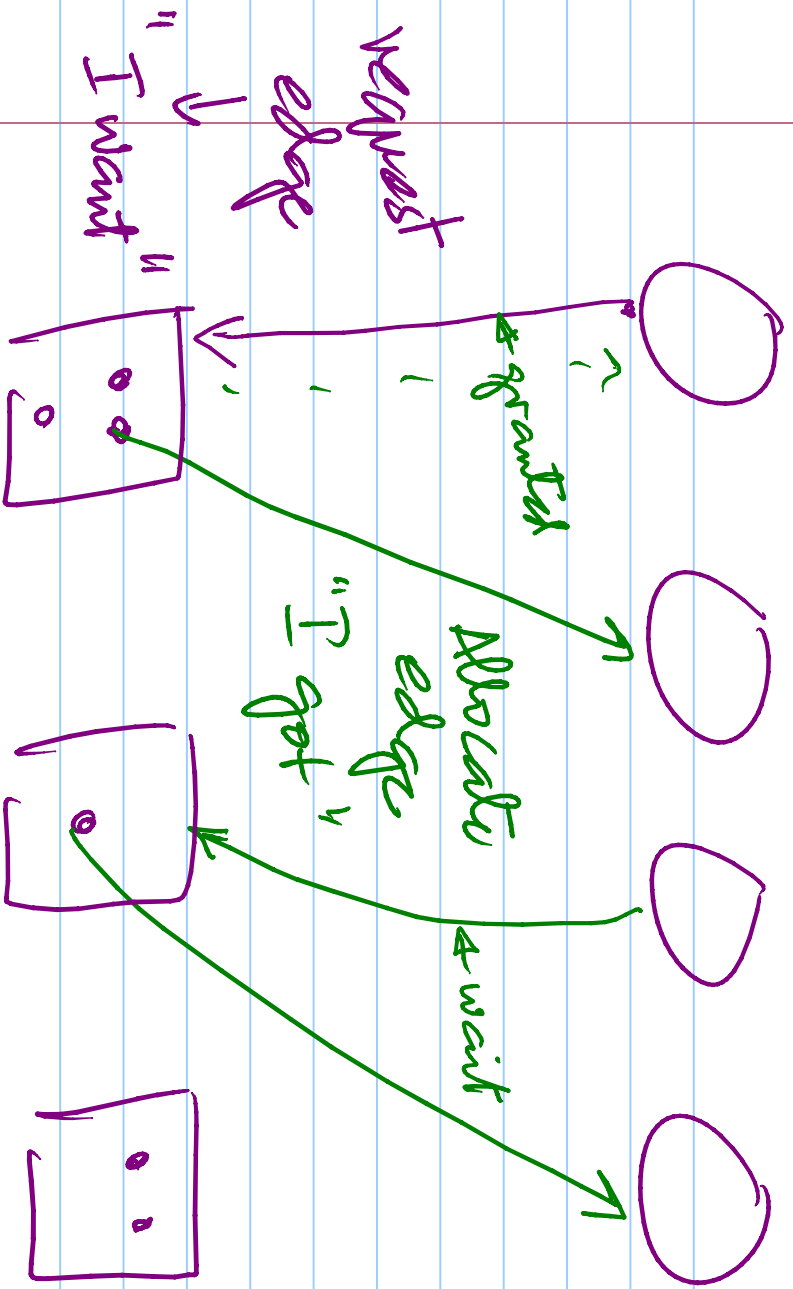
Processes & resources

## Necessary Conditions

- mutual exclusion
- no preemption
- hold & wait
- circular wait

## Resource Allocation graphs (RAG)

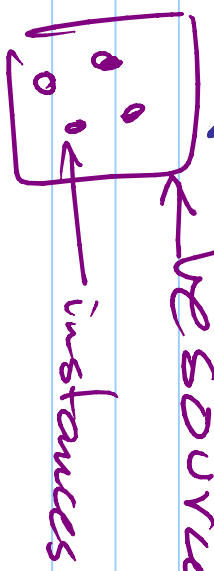
- 2 kinds of nodes
  - process
  - resource
- Resources can have multiple instances  
(Resource type, Resource instance)
- Edges can be 2 types
  - request edge
  - Allocated edge



processes

• one outgoing edge from process

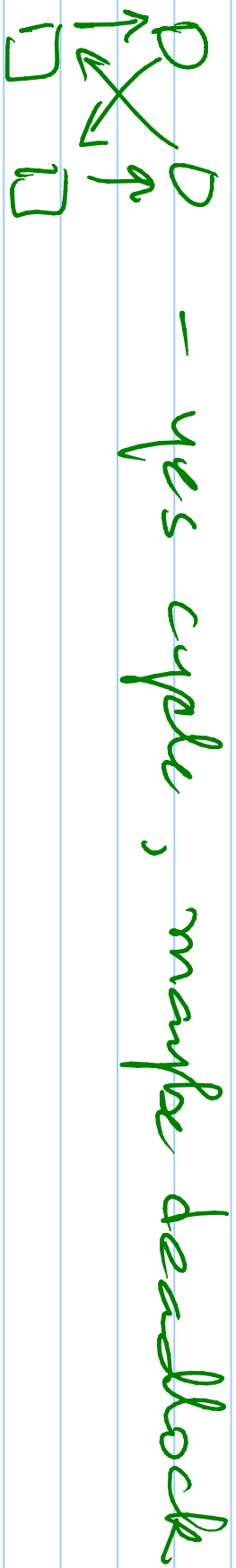
• at most  $x$  outgoing edges from Resource  $x = \text{inst}$

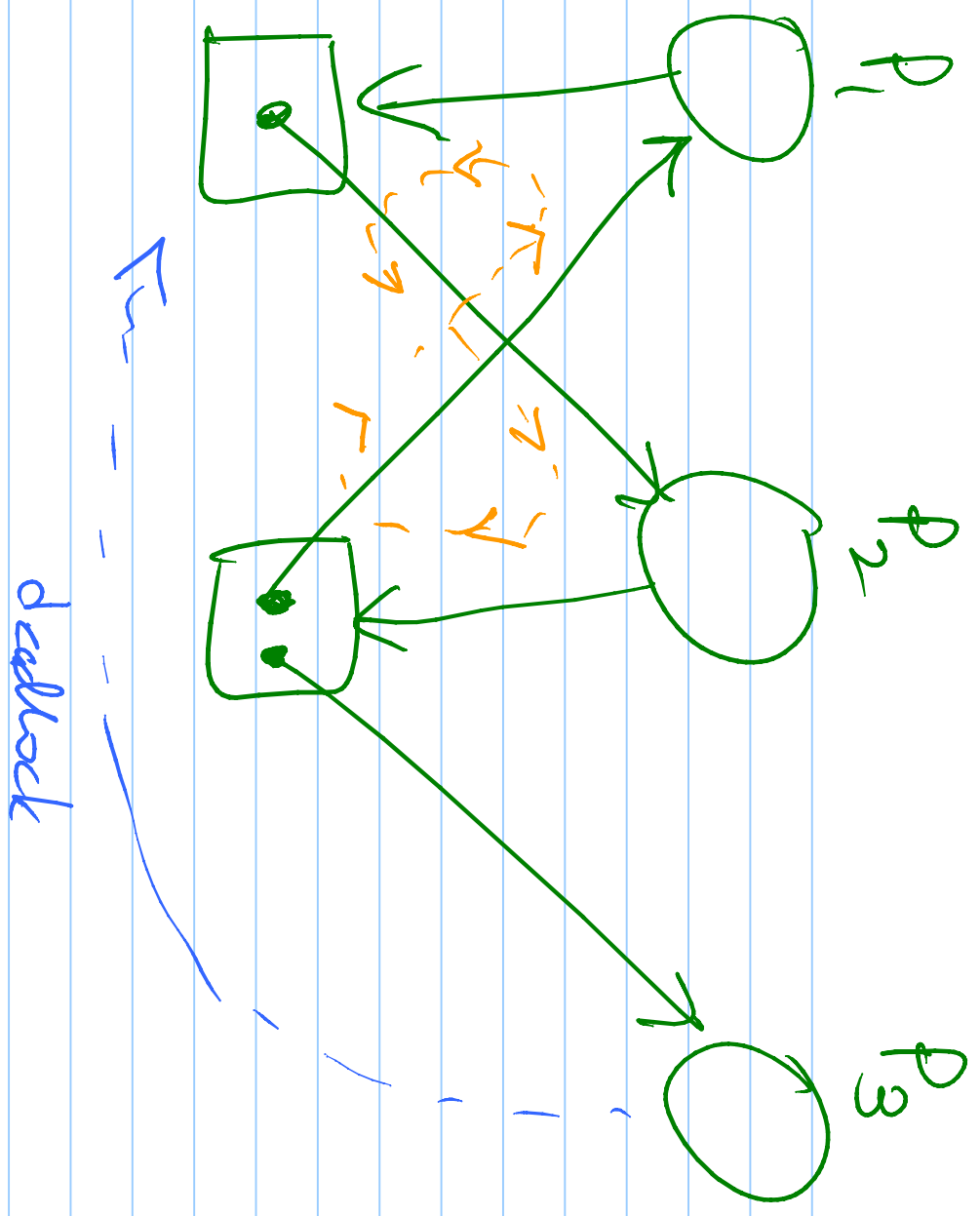


Cycle in a RATG?

- deadlock  $\rightarrow$  NO, maybe

- no cycle, no deadlock





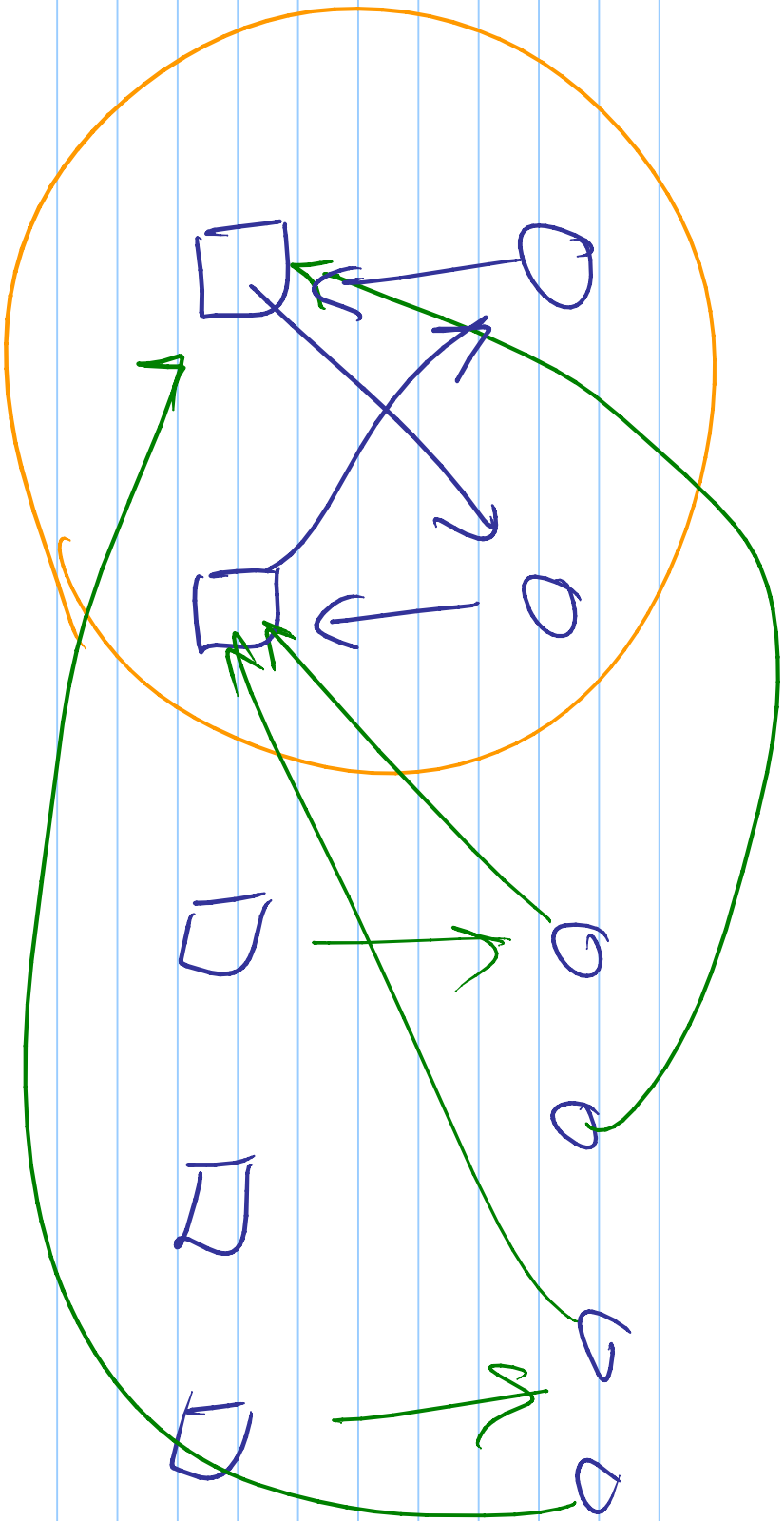
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If all resources have one instance

- cycle is a sufficient condition  
for deadlock

What to do about deadlocks?

- ① prevention → deadlocks cannot happen
- ② avoidance →
- ③ detection & recovery



deadlock

## Deadlock prevention

- ensure at least 1 of the necessary conditions do not hold:
  - mutex
  - no preempt
  - hold  $\Delta$  wait
  - circular wait

# Deadlock prevention

① [No] mutual exclusion

→ all resources are shareable

→ " " " read only

→ Simultaneous usage possible

② [No] no preemption

→ all resources are preemptible

↯

OS follows this rule for

CPU, memory

[no] hold & wait

→ good idea in programs

→ release acs, before getting another one

e.g. → do not do  $P(S_1) P(S_2)$

(no nested critical sections)  
hold wait

# Database programs

- airline reservations

Book Phx to NYC via Chicago

① find flight Phx → NYC → lock

② find next flight → lock

book both

→ unlock both

[No] Circular wait

→ holds & wait OK, no preempt OK,  
→ But NO circular  
→ ordered resource allocation

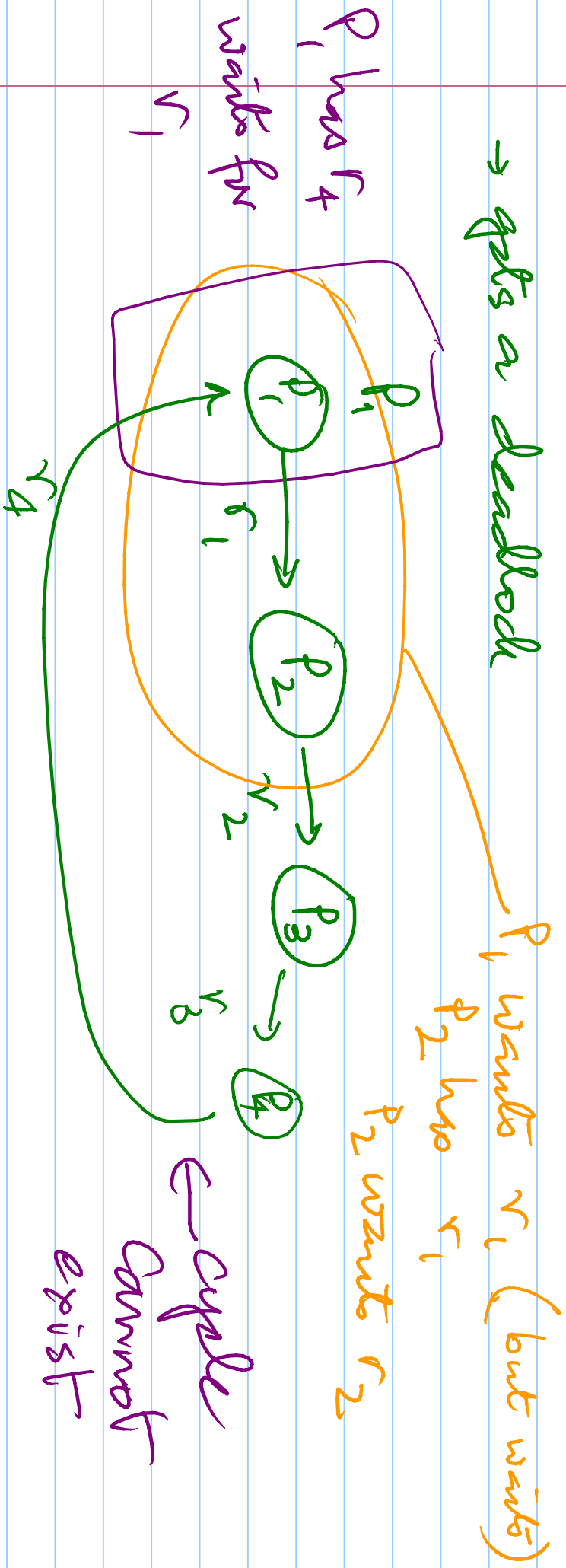
All resources are numbered

0 - - - - - (n-1)    n resources

A process can request resource  $i$   
if all the resources it ~~is~~ already  
holds have number  $< i$ .

- Suppose ordered resource allocation does not work

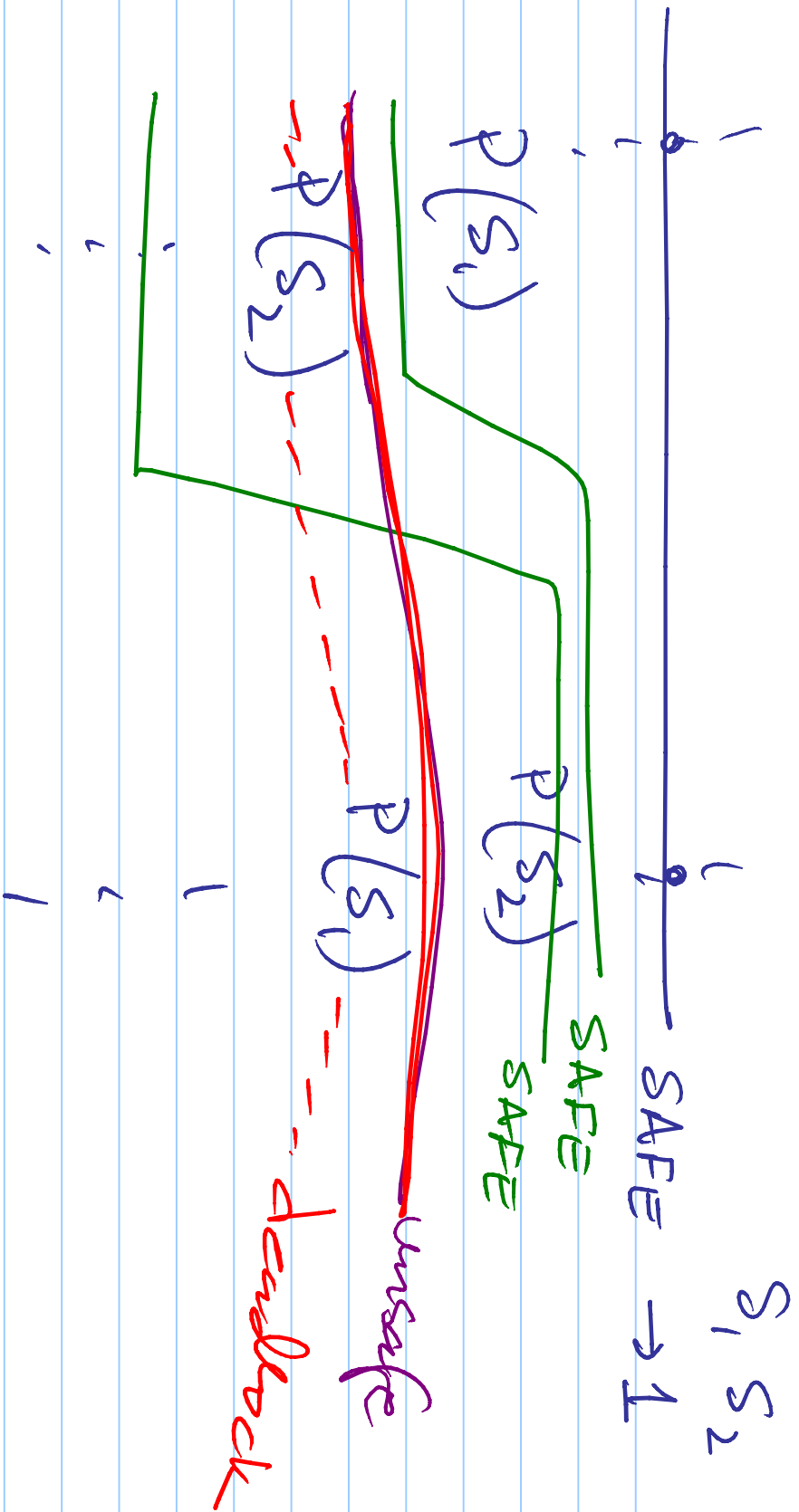
→ gets a deadlock

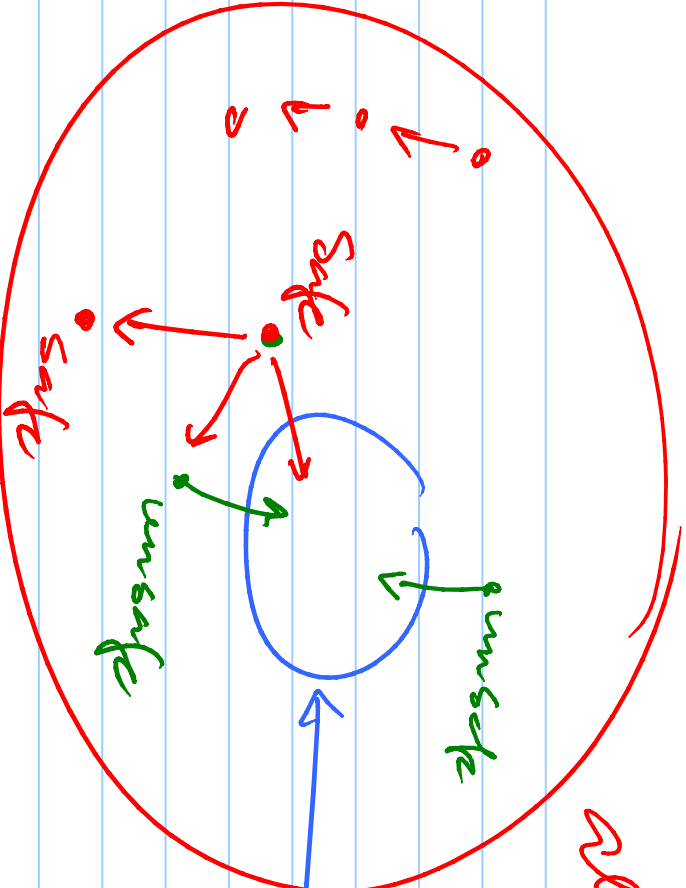


prescription

— 4 rules, choose 1

↳ not always practical





all possible  
8+10

denblock

# Bankers Algorithm

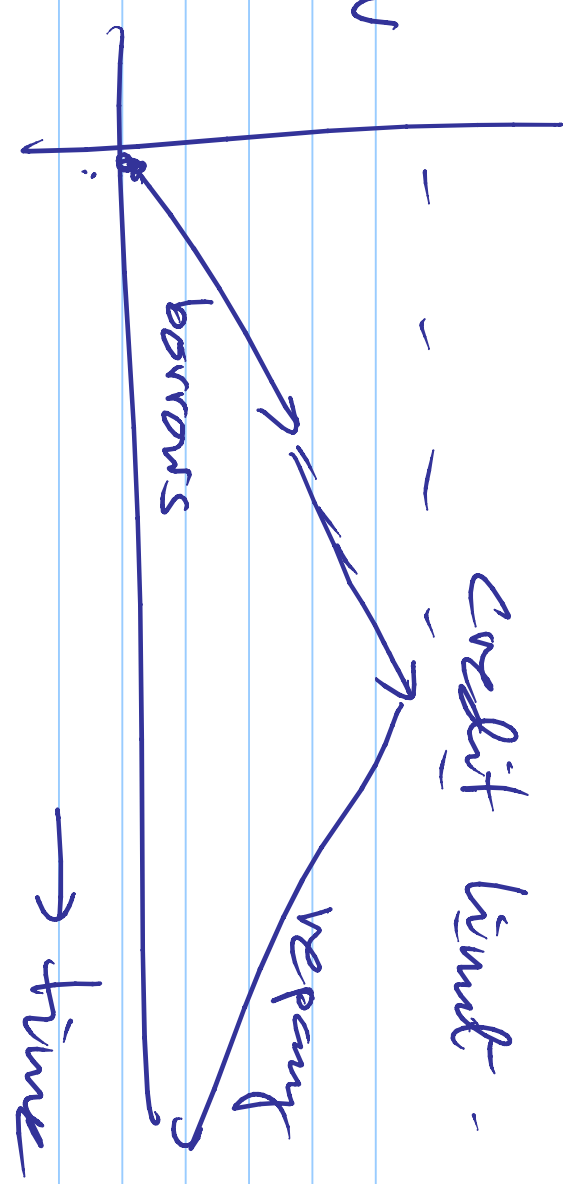
[Simplified version]

Bank has  $\$N$  on deposit

" " customer  $C_1, C_2, \dots$

Each customer wants to borrow  
money

Customer



currency → type of resource

$\$x \rightarrow x$  instances of  $\$$

borrow → acquire

repay → release

Credit limit → predeclared resource  
acquisition plan

Bank

100

$C_1$

$C_2$

} credit  
limit 50

100

70 → each borrower \$50  
70

90

$C_1 \rightarrow 10 \rightarrow 70 \rightarrow 50$

