

# RSA - Why does it work?

This document is incomplete and in preliminary condition.  
Proof by Partha Dasgupta, based on a proof by Zvi M. Kedem

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## Outline of RSA algorithm:

$p, q$  are distinct primes

$$N = p \cdot q$$

Define:  $\Phi = (p-1)(q-1)$

Find  $a, b$  such that they are relatively prime to  $\Phi$  and  $a \cdot b = 1 \pmod{\Phi}$

- e.g.  $a = 65537 = 2^{16} + 1$

Encryption of message  $m$ :  $E_e(m) = m^b \pmod{n} = C$  (cipher)

Decryption of  $C$ :  $D_d(C) = y^a \pmod{n} = m$

Encryption Key:  $e = (b, n)$

Decryption Key:  $d = (a, n)$  [Note,  $e$  and  $d$  are interchangeable]

So in order for RSA to work we must have the property :

$$(m^b)^a = m \pmod{n} \quad [1]$$

In this document (7 pages, large font text), we will

- Prove [1]
- Show how to find  $p, q, a$  and  $b$ .
- Show how to compute  $m^b$  fast

## Preliminaries:

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$$\mathbf{Z}_n = \{0, 1, 2, \dots, n-1\}$$

$$\mathbf{Z}_n^* = \{x < n-1 \mid x \text{ and } n \text{ are relatively prime}\}$$

$\phi(n)$  = number of elements of  $\mathbf{Z}_n$  that are relatively prime to  $n$ .

$$\text{Hence } \phi(n) = |\mathbf{Z}_n^*|$$

## How to find $\phi(n)$ ?

All those number that are not multiples of  $p$  or  $q$  are in  $\phi(n)$ . If we count all the multiples of  $p$  and  $q$ .

$$\begin{array}{ll}
0, & 1 \\
p, 2p, 3p, \dots, (q-1)p & q-1 \\
q, 2q, 3q, \dots, (p-1)q & p-1
\end{array}$$

hence

$$\phi(n) = pq - 1 - (q-1) - (p-1) = pq - p - q + 1 = (p-1)(q-1)$$

Example:  $p=3, q=5, n=15$ .

$$\text{Now } \phi(n) = 2 \cdot 4 = 8 = \{1, 2, 4, 7, 8, 11, 13, 14\}$$

**Claim 1:  $Z_n^*$  is closed under multiplication mod  $n$ .**

If  $a, b \in Z_n^*$  then  $ab$  and  $n$  are relatively prime i.e.  $ab$  shares no primes with  $n$ . By definition of  $Z_n^*$   $a, b$  do not share primes with  $n$ . Their product,  $ab$ , gets its primes from  $a$  and  $b$  and therefore does not share primes with  $n$ .

The product can be written as  $ab = \alpha n + \gamma$ . We just need to show that  $\gamma$  is in  $Z_n^*$ . But if it is not, then it shares primes with  $n$  and the right hand side is divisible by some prime that is a factor of  $n$ . But then, so is the left side, which is impossible as we showed that it is relatively prime with  $n$ .

**EndClaim1**

**Definition:**

$$Z_n^* = \{b_1, b_2, \dots, b_{\phi(n)}\}$$

For any  $a \in Z_n^*$ ,

$$\text{Let } S_a = \{a \bullet b_1 \text{ mod } n, a \bullet b_2 \text{ mod } n, \dots, a \bullet b_{\phi(n)} \text{ mod } n\}$$

**Claim 2:  $S_a \equiv Z_n^*$**

First, by Claim 1, all elements of  $S_a$  are in  $Z_n^*$

Second, no two elements can be the same. Suppose they were, then for some  $b_i$  and  $b_j$  ( $b_i < b_j$ )

$$a \bullet b_i = \alpha n + \gamma$$

$$a \bullet b_j = \beta n + \gamma$$

$$\text{Subtracting, } (b_i - b_j) \bullet a = (\beta - \alpha) \bullet n \quad \text{or} \quad x \bullet a = y \bullet n$$

$x \cdot a$  and  $y \cdot n$  are the “same product of primes. Since  $a$  and  $n$  do not share any common primes. All primes that form  $n$  has to appear in  $x$ . Hence  $x \geq n$ . That is a contradiction, as  $b_j < n$ .

Since all elements of  $S_a$  are distinct, and in  $\mathbf{Z}_n^*$ , then  $S_a$  and  $\mathbf{Z}_n^*$  are identical.

Note that since all elements of  $\mathbf{Z}_n^*$  are produced when  $a$  is multiplied by each element of  $\mathbf{Z}_n^*$ , then the element 1 is also a result of such a multiplication. Hence we get the following: [Note: Not useful at this point]

**Corollary: if  $a \in \mathbf{Z}_n^*$  then  $\exists b_k \in \mathbf{Z}_n^*$ , s.t.  $ab_k = 1 \pmod n$**

Corollary is proven in the prior paragraph.

### EndClaim2

**Claim3: if  $a \in \mathbf{Z}_n^*$  then  $a^{\phi(n)} = 1 \pmod n$**

Define  $c$  and  $A$  such that:

$$\begin{aligned} b_1 \cdot b_2 \cdot \dots \cdot b_{\phi(n)} &= c \pmod n \\ (ab_1 \cdot ab_2 \cdot \dots \cdot ab_{\phi(n)}) &= A \pmod n \quad [\text{Note, } A \text{ and } c \text{ are less than } n] \end{aligned}$$

Now since  $ab_1 \pmod n \cdot ab_2 \pmod n \cdot \dots \cdot ab_{\phi(n)} = A \pmod n$

By Claim 2,  $ab_1 \pmod n, ab_2 \pmod n, \dots \cdot ab_{\phi(n)} \pmod n$  is a permutation of  $\mathbf{Z}_n^*$

Hence:  $A = c$  (plain arithmetic, both are less than  $n$ )

Now we take the following equation:

$$(ab_1 \cdot ab_2 \cdot \dots \cdot ab_{\phi(n)}) = a^{\phi(n)} \cdot (b_1 \cdot b_2 \cdot \dots \cdot b_{\phi(n)}) \quad (\text{plain arithmetic})[1]$$

Now take the modulus of both sides:

$$A = (a^{\phi(n)} \cdot c \pmod n),$$

Since  $c$  is less than  $n$ ,  $a^{\phi(n)} \pmod n$  must be 1.

Thus:  $a^{\phi(n)} = 1 \pmod n$

### EndClaim3

**Claim4:** if  $a$  and  $\phi(n)$  are relatively prime then  $\exists b$ , s.t.  $a \bullet b = 1 \pmod{\phi(n)}$

If  $a$  and  $\phi(n)$  are relatively prime, then  $a \in \mathbf{Z}^*_{\phi(n)}$  and from Corollary of Claim 2 we know that  $b$  exists (and is a member of  $\mathbf{Z}^*_{\phi(n)}$ ).

Thus there exists  $a$  and  $b$ , both relatively prime to  $\phi(n)$ , such that:  
 $a \bullet b = k\phi(n) + 1$  (regular arithmetic)

### EndClaim4

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### **Proof of RSA** (for all messages in $\mathbf{Z}^*_n$ )

Take a message  $m < n$  and choose  $a$  relatively prime to  $\phi(n)$  and find  $b$  such that  $a \bullet b = 1 \pmod{\phi(n)}$ .

Now compute  $(m^a)^b$  using modulo  $n$  arithmetic:

$$(m^a)^b = m^{k\phi(n) + 1} = m^{k\phi(n)} m = m^{\phi(n)} m^{\phi(n)} \dots m^{\phi(n)} m$$

Take the modulo of the last term and since  $m^{\phi(n)} = 1 \pmod{n}$ , then result is  $m$ .

Hence  $(m^a)^b = m \pmod{n}$

*Deficiency of this proof:* The proof is for all messages in  $\mathbf{Z}^*_n$   
If  $n=512$  bit number, then the chance of a number being in  $\mathbf{Z}_n$  but not in  $\mathbf{Z}^*_n$  is about  $10^{-25}$ . That is negligible ☺

**To fix this problem:** There is a proof that all numbers in have the above property, but that proof is rather complex.

### EndProof

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### **How to really find a, b?**

We know that given  $a$ ,  $b$  exists, but how to find them?

Find  $a$ , relatively prime to  $\phi(n)$  (3, 5, 7 etc – start with a small odd number and work your way up). Note that  $\phi(n)$  is even.

Then find  $b$  using extended Euclidean algorithm as follows

*Extended Euclidean Algorithm:*

Given  $p$  and  $q$ ,  $p > q$  the algorithm finds  $x$  and  $y$ , such that

$$x \cdot p + y \cdot q = \text{GCD}(p, q)$$

[note: regular arithmetic,  $x$  or  $y$  is negative]

So we use it as follows:

- We provide  $\phi(n)$  and  $a$  as input ( $p$  and  $q$ ) and get  $x$  and  $y$  [note:  $\text{GCD}(a, n) = 1$ ] – that is we get the values of  $x$  and  $y$ , such that  $a \cdot y + \phi(n) \cdot x = 1$ .
- Also note that  $a \cdot b = 1 \pmod{\phi(n)}$ , that is  $a \cdot b = \eta \cdot \phi(n) + 1$  or  $a \cdot b - \eta \cdot \phi(n) = 1$   
So  $b = y$

Hence in modulo arithmetic,

- $b = y$ , if  $y$  is positive and
- $b = \phi(n) - y$ , if  $y$  is negative

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**Now we need to find  $p$ ,  $q$  and hence  $N$ .**

$p$  and  $q$  are large prime numbers. So the problem is to find large prime numbers. There is no good deterministic way of doing this. However we can do it with probabilistic algorithms.

**Fact:** There are lots of large prime numbers. The number of prime numbers below  $N$  is about  $N/(\ln n)$  and hence for a random 2048 bit number, the probability of it being prime is about 0.0007 (one in 1500).

**Claim 5: If  $p$  is prime, for any  $a < p$ ,  $a^{p-1} = 1 \pmod p$**

Since  $p$  is prime,  $a \in \mathbb{Z}_p^*$  and  $\phi(p) = p - 1$

Thus  $a^{p-1} = a^{\phi(p)} = 1 \pmod p$

**EndClaim**

**Claim 6: If  $p$  is prime, the equation  $x^2 = 1 \pmod p$  has only 2 solutions, 1 and  $p-1$  (or  $-1 \pmod p$ ).**

Lets say the equation has 2 solutions,  $S_1$  and  $S_2$ .

$$\text{Thus } S_1^2 = 1 + kp$$

$$\text{Hence } (S_1+1) \cdot (S_1-1) = kp$$

This means either  $(S_1+1)$  or  $(S_1-1)$  or both is divisible by  $p$ .

Suppose both are divisible by  $p$ . But these two numbers are only 2 apart – and unless if  $p=2$  this is not possible.

Thus only one of them is divisible by  $p$ . If  $(S_1+1)$  is divisible, then

$$S_1 = 1 \pmod p.$$

If  $(S_1-1)$  is divisible by  $p$  then

$$S_1 = -1 \pmod p \text{ (or } S_{1+1} = p-1 \pmod p)$$

Thus the two solutions have to be 1 and  $-1$ . (same happens for  $S_2$ )

**EndClaim**

## **PRIME NUMBER HUNT**

Choose a number  $p$ , randomly. This number, if large has a chance of being prime in the order of 1 in several thousand (*good!*).

Then choose a number  $a < p$ , randomly. We will use  $a$  to test the primality of  $p$ . First, we know that if  $p$  is prime,  $a^{p-1}$  is 1 (mod  $p$ ). Secondly, we know that if for some  $x$  (where  $x$  is not 1 or  $p-1$ ), if  $x^2$  is 1 or  $p-1$  (mod  $p$ ) then  $p$  is not prime.

Now we compute  $a^{p-1}$  **fast**. Since the computing involves squaring numbers, we can do the  $x^2$  test also.

Computing  $a^x$  can be done as follows. Put 1 in a result variable. Take the binary representation of  $x$ . Then for every bit in the binary representation, working from left to right (MSB to LSB), for every 0 square the result variable. For every 1, square the result variable and multiply with  $a$ .

$b[k] b[k-1] \dots b[1] b[0]$  is the binary representation of  $x$

```
result = 1 // start with the value of  $a^0$ 
for i = k downto 0 { //from MSB to LSB
    temp = result; // store prev result for checking
    result = (result * result) mod n //square prev result
    //if we are doing primality testing then add this step
    if (result = 1) and (temp!=1) and (temp!=n-1)
        then p is not prime; //by Claim 6
        break;
    if b[i] = 1 then result = (result*a) mod n //mult by a
}
// now we know n is possibly prime
```

If the above test says “*possibly prime*” then the number  $p$  is not prime with probability 0.5. Hence if we run the test  $R$  times, then  $p$  is not prime, with probability  $(0.5)^R$ . If  $R = 100$  and for all the 100 tests the result was “*possibly prime*”, then the chance of the number being not prime is a one in a million.

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So we select 1 large number. Test for primality about 500 times. In about a 2000 choices, we will find a prime number. Do it again for another prime number. Now call them  $p$  and  $q$ . All this should take about 1-2 seconds. Definitely under 10 secs.

And the rest, as they say is trivial 😊

Note that encryption and decryption uses the same fast exponenting algorithm as shown above.

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